

Account # _____
Advisor Code _____
Case # _____

This form shall authorize TD Ameritrade to deliver immediate trade-by-trade confirmations to your discretionary advisor as fiduciary on the account(s) listed below and to deliver to you a periodic statement, not less than quarterly, reflecting the same information contained on the trade-by-trade confirmations delivered to your discretionary advisor. The only account(s) eligible for this alternative form of confirmation delivery are those accounts for which your advisor is a fiduciary and has investment discretion, which shall mean the authorization to place trades in your account without first obtaining your approval.

List all accounts that apply:

ACCOUNT NUMBER(S)	ACCOUNT REGISTRATION(S)

Please list any additional accounts on a separate page, which will need to be signed by all of the account owners.

Email Address: _____

By providing your email address, you consent to receive electronic quarterly trade confirmations. Quarterly trade confirmations detailing any purchase or sale of a security will be sent to the email address you provided above unless you choose to have them sent to the mailing address of record by checking below.

QUARTERLY PAPER CONFIRMATION

SIGNATURES

By signing below, I instruct TD Ameritrade to deliver trade-by-trade confirmations to my advisor as fiduciary for the account(s) listed above and to deliver a periodic statement from TD Ameritrade to me, not less than quarterly, containing the same information that would have been contained in a trade-by-trade confirmation. I further acknowledge and understand that: (1) I will not pay a different fee based on my decision to execute this agreement; (2) the election not to receive trade-by-trade confirmations is not required or requested by TD Ameritrade; (3) I can change my mind at any time and request, at no additional cost, that TD Ameritrade provide me with trade-by-trade confirmations for any transactions since my last periodic statement as well as all subsequent transactions by contacting TD Ameritrade at 800-431-3500; (4) I can receive detailed updates on any particular transaction effected between periodic statements by contacting my advisor or TD Ameritrade or by checking www.advisorclient.com and (5) I will continue to receive trade confirmations for options and municipal securities transactions on a trade-by-trade basis.

Print Name of Authorized Individual/Trustee/Co-Owner: _____ Signature: _____ Date: _____

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Print Name of Authorized Individual/Trustee/Co-Owner: _____ Signature: _____ Date: _____

Mailing Address:
TD Ameritrade Institutional
PO BOX 650567
Dallas, TX 75265-0567

TDAI 4444 REV. 02/17

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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